

67834 U.S. PTO  
04/29/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11/11/97  
04/29/97  
08/841027  
70579 U.S. PTO

Docket No. 8CP-12236A (FWC of 8CP-12236)  
Anticipated Classification of this application:  
Class 1511 Subclass \_\_\_\_\_

PRIOR APPLICATION

Serial Number: 08/551,595  
Examiner: V. Hoke  
Art Unit: 1511

BOX FWC  
Commissioner of Patents and Trademarks  
Washington, DC 20231

**FILE WRAPPER CONTINUING APPLICATION (FWC) TRANSMITTAL**

I. This is a request for a filing under the file wrapper continuing application procedure, 37 CFR 1.62, for a

continuation  
 divisional  
 Continuation-in-part (for oath or declaration see III below)  
 attached is an amendment for added subject matter  
 continuing application to permit consideration of an information disclosure statement under 37 CFR 1.97.

**PARTICULARS OF PRIOR APPLICATION**

A. Application Serial No. 08/551,595 filed 11/01/95.  
B. Title (as originally filed and as last amended) FLAME RETARDANT POLYCARBONATE/GRAFT COPOLYMER BLENDS EXHIBITING HEAT AGING STABILITY.  
C. Name of applicant(s) (as originally filed and as last amended) and current correspondence address of applicant(s).

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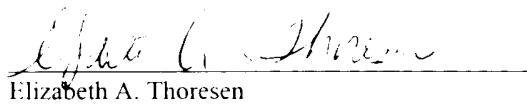
Full Name of Inventor: Satish Kumar Gaggar  
Country of Citizenship: United States  
Residence Address: Dupont Manor, Box 349, Rt. 3, Parkersburg, WV 26101  
Post Office Address: Same as above

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Full Name of Inventor: Fuh-Sheng Chen  
Country of Citizenship: Taiwan  
Residence Address: 201 Third St., Apt. 5J, Parkersburg, WV 26101  
Same as above

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this FWC transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date April 27, 1997 in an envelope as "Express Mail Post Office to Addressee" mailing Label Number EM599902660US addressed to the: Commissioner of Patents & Trademarks, Washington, DC 20231.

  
Elizabeth A. Thoresen

The above identified application in which no payment of the issue fee, abandonment of (other than where the above identified application was abandoned under 37 CFR 1.313(b)(5) to permit consideration of an information disclosure statement under 37 CFR 1.97), or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wrapper, the PTO may provide similar information or access to all the other applications in the same file wrapper.

### II. Inventorship statement

(a)  This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are  the same  less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:

*(Type name(s) of inventor(s) to be deleted)*

(b)  This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application whose particulars are set out above the inventor(s) in this application are  the same  add the following additional inventor(s)

*(Type name of inventor(s) to be added)*

(c)  The inventorship for all the claims in this application is  the same  add  an explanation, including the ownership of the various claims at

**III Declaration or oath**

A. Continuation or divisional  
 none required

B. Continuation-in-part  
 attached  
executed by (check all applicable items)  
 inventor(s).  
 legal representative of inventor(s) 37 CFR 1.42 or 1.43.  
 joint inventor or person showing a proprietary interest for  
inventor who refused to sign or cannot be reached. 37 CFR 1.47;  
 This is the petition required by 37 CFR 1.47 and the statement  
required by 37 CFR 1.47 is also attached.  
 not attached  
 Application is made by a person authorized under 37 CFR 1.41(c) on  
behalf of all of the above named applicant(s).  
 showing that the filing is authorized.

**IV Identification of Claims for Further Prosecution**

The fees to be charged are to be based on the number of claims remaining as a result of  
the  
 attached preliminary amendment.  
 the unentered amendment filed under 37 CFR 1.116 in the prior application,  
which is now repeated and applicant respectfully requests entry of the amendment.  
 the claims as on file in the prior application.

**V. Fee Calculation (37 CFR 1.16)**

**CLAIMS FOR FEE CALCULATION**

Basic Filing Fee						\$ 770
Claims	<u># Filed</u>		<u># Extra</u>		<u>Rate</u>	
Total	8	-	20	= 0	X \$ 22	= \$ 0
Independent	2	-	3	- 0	X \$ 80	= \$ 0
Multiple Dependent Claims						\$ 0
TOTAL FILING FEE:						\$ 770

**VI. Petition for Suspension of Prosecution for the time Necessary to File an Amendment**

## VII. Small Entity Statement

A verified statement that this is a filing by a small entity is attached.  
 The small entity statement was filed in the parent application Serial No. \_\_\_\_\_ which parent application was filed on \_\_\_\_\_ and this status is still proper and its benefit under 37 CFR 1.28(a) is hereby claimed.

Filing fee calculation (50% of above) \$ \_\_\_\_\_

## VIII. Fee Payment Being Made at This Time

### Not attached

No filing fee is submitted. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

### Attached

<input checked="" type="checkbox"/> filing fee	\$ 770
<input type="checkbox"/> recording assignment (\$40.00; 37 CFR 1.21(h)). <i>See item XIV below.</i>	\$ _____
<input type="checkbox"/> petition fee for filing by other than all the inventors or person not the inventor where inventor refused to sign or cannot be reached (\$40.00; 37 CFR 1.47 and 1.17(h))	\$ _____
<input type="checkbox"/> processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$ _____
<b>Total fees enclosed</b>	\$ 770

## IX. Method of Payment of Fees

<input type="checkbox"/> attached is a check in the amount of	\$ _____
<input checked="" type="checkbox"/> charge Account No. <b>07-0862</b>	\$ 770
<input checked="" type="checkbox"/> a duplicate of this request is attached.	

## X. Authorization to Charge Additional Fees

<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the entire pendency of this application to Account No. <b>07-0862</b>	
<input type="checkbox"/> 37 CFR 1.16(a), (f) or (g) (filing fees)	
<input checked="" type="checkbox"/> 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)	
<input type="checkbox"/> 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)	
<input checked="" type="checkbox"/> 37 CFR 1.17 (application processing fees)	
<input type="checkbox"/> 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.1311(b))	

AMERICAN INVENTION PAPER FEE

AMERICAN INVENTION PAPER FEE

refund

**XII Priority - 35 U.S. C. 119**

Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in (country) is claimed under 35 U.S.C. 119.

The certified copy has been filed on \_\_\_\_\_ in prior U.S. application Serial No. \_\_\_\_\_, which prior application was filed on \_\_\_\_\_.

Certified copy will follow

**XIII. Relate Back - 35 U.S.C. 120**

Amend the specification by inserting before the first line the sentence:  
"This is a  
 continuation of  
 divisional  
 continuation-in-part  
of copending application(s).  
 serial number 08/551,595 filed on 11/01/95."  
 International Application \_\_\_\_\_ filed on \_\_\_\_\_ and which designated the U.S."

**XIV Assignment**

the prior application is assigned of record to **GENERAL ELECTRIC COMPANY**  
 an assignment of the invention to \_\_\_\_\_  
is attached. A separate "ASSIGNMENT COVER LETTER ACCOMPANYING NEW PATENT APPLICATION" is also attached.

**XV. Power of Attorney**

The power of attorney in the prior application is to: Li-Hua Luo

- a.  The power appears in the original papers in the prior application.
- b.  The power does not appear in the original papers, but was filed on \_\_\_\_\_.
- c.  A new power has been executed and is attached.
- d.  Address all future communications to:

Li-Hua Luo  
GE Plastics  
One Plastics Avenue  
Pittsfield, MA 01201

**XVI Maintenance of Copendency of Prior Application**

A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_.

A copy of the petition for extension of time in the **prior** application is attached.

**XVII Conditional Petitions for Extension of Time in Prior Application**

A copy of the conditional petition for extension of time in the **prior** application is attached.

### XVIII. Abandonment of Prior Application

Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application. At the same time please add the words "now abandoned" to the amendment to the specification set forth in XIII above.

### XIX. INFORMATION DISCLOSURE STATEMENT

Submitted herewith is an Information Disclosure Statement

Respectfully submitted,



Li-Hua Luo  
Attorney for Applicant  
Registration No. 37,986  
Telephone No. (413) 448-7734

General Electric Company  
One Plastics Avenue  
Pittsfield, MA 02101  
April 27, 1997

Assignee: General Electric Company  
New York

Assignment recorded in PTO on : 11/01/95

Reel 7756 Frame 0024

Plus ADDED PAGE FOR INVENTOR'S DATA FOR FWC FILING  
 Plus ASSIGNMENT (DOCUMENT) COVER LETTER  
ACCOMPANYING NEW PATENT APPLICATION

LHL/ct

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number <b>8CP-12236</b>
In re Application of <b>Satish K. Gaggar et al.</b>		
Application Number <b>08/551,595</b>		Filed <b>11/01/95</b>
For <b>FLAME RETARDANT POLYCARBONATE/GRAFT COPOLYMER BLENDS EXHIBITING HEAT AGING STABILITY</b>		
Group Art Unit <b>1511</b>		Examiner <b>V. Hoke</b>

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08/841027  
705794-U5  
PTO

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows

(check time period desired):

<input type="checkbox"/>	One month (37 CFR 1.17(a))	\$ _____
<input type="checkbox"/>	Two months (37 CFR 1.17(b))	\$ _____
<input checked="" type="checkbox"/>	Three months (37 CFR 1.17(c))	\$ <u>930.00</u>
<input type="checkbox"/>	Four months (37 CFR 1.17(d))	\$ _____

Applicant is a small entity under 37 CFR 1.9 and 1.27, therefore the fee amount shown above is reduced by one-half, and the resulting fee is: \$ \_\_\_\_\_

A verified statement of small entity status as a small entity under 37 CFR 1.27:

is enclosed  
 has already been filed in this application.

A check in the amount of the fee is enclosed.  
 The Commissioner has already been authorized to charge fees in this application to a Deposit Account.  
 The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 07-0862. I have enclosed a duplicate copy of this sheet.

I am the  assignee of record of the entire interest.

Certification under 37 CFR 3.73(b)  is enclosed.  
 has already been filed in this application.

applicant(s) (signatures of all applicant are required).

attorney or agent of record.

attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a)

11/29/97  
Date

Li-Hua Luo  
Signature

Li-Hua Luo 37,986  
Typed or Printed Name

SEND TO: Assistant Commissioner for Patents, Washington, DE 20231.

**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I declare under penalty of perjury that this paper (along with any paper referred to as being attached or enclosed) is being

Date: 11/29/97

Elizabeth A. Thoresen

(Petition for Extension of Time under 37 CFR 1.136(a) (PTO/SB/22) (11-2-1) - page 1 of 1)